

Option E for the Collection and Recycling system

Legislature

- Establish time line for implementation
- Set recycling and convenience goals
- Establish TPO allocation between manufacturers and rate payers (IOUs and POUs) for initial setup and adjusted if goals are not met.
- Set mandatory retailer participation requirements if goals are not met.

Third Party Organization (TPO)

- Independent, non-profit organization
- Board of Directors will include range of stakeholders
- Collects funds from manufacturers and utility rate payers (Publicly Owned and Investor Owned)
- Coordinates and funds outreach and education in close collaboration with the state and local governments
- Negotiates fees and funds consolidation and recycling
- Submits annual plans to State for expenditure of collected funds and collection plans. Elements TBD including convenience metrics
- Monitors and reports performance of system including outreach, education, convenience of collection, processing, and recycling
- Coordinates with local governments and LEAs to provide training to staff at collection centers

Manufacturers

- Creates TPO and provides funding to the TPO
- Shared responsibility for publicity and outreach especially on packaging and websites
- Must provide data on sales to TPO or State.
- If after X years TPO data show failure to meet legislatively established recycling rate and/or convenience goals the percent of contribution by the manufacturers to fund TPO activities shall be increased.

Retailers

- Responsible for providing point of sale information on energy efficiency benefits and recycling opportunities.
- May only sell lamps for which the manufacturer is in compliance.
- Responsible for reporting annual lamp sales in California.
- All stores encouraged to participate as collection centers
- Responsible for appropriate on site management of returned lamps

- If after X years TPO data show an unacceptably low recycling rate and convenience goals based on data collected, mandatory retailer participation, based on size/sales will become effective.

Utilities (Investor and Publicly Owned)

- Facilitate flow of rate payer funds to TPO
- Only distribute lamp brands that are fully in compliance with the program.
- Outreach and education on disposal closely coupled with all outreach on energy efficiency
- Report data on lamp distribution outside retail environment (*give-aways*)

State Government

- Provide oversight of TPO practices
- Provide certification/enforcement of recycling operations
- Provide compliance assistance to collectors and handlers
- Collect sales and recycling data.
- Review legislatively established performance and convenience goals. If goals are not met the state will determine if failure to meet established goals, triggers;
 - A. Mandatory retailer participation
 - B. Reallocation of costs to manufacturers until such time that these goals are met.

Local Government

- Provide outreach and education in partnership with TPO, State, utilities, retailers, and manufacturers
- Collaborate with TPO to maximize number of drop-off locations
- Outreach and education on disposal closely coupled with all outreach on energy efficiency
- Coordinate with LEAs and solid waste management companies

Collectors/Recyclers

- Must follow universal waste management requirements.
- May enter into contracts with TPO
- Recyclers must provide reporting on recycling of California lamps to TPO or to State (via contract provisions from manufacturers).

Funding options for E

1. Collection of “fees” from manufacturers and rate payers (IOUs and POUs) to a TPO